

EVENT

PCMA - Alberta Chapter Industry Cocktail Reception

May 26, 2016

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Industry professionals who are active in the exempt securities market are invited to the Private Capital Markets Association of Canada (PCMA), Alberta Chapter Industry Cocktail Reception.

Date: Thursday, May 26, 2016

Time: 5:00 pm - 8:00 pm

Location: Sheraton Suites Calgary Eau Claire, Willow Room
255 Barclay Parade SW, Calgary, Alberta T2P 5C2

Keynote Speakers:

Lynn Tsutsumi, CA
Director, Market Regulation
Alberta Securities Commission

Bonnie Kuhn, LLB, LLM
Manager, Legal Market Regulation
Alberta Securities Commission

Lynn Tsutsumi and Bonnie Kuhn will discuss the CSA Consultation Paper 33-404 Proposals to Enhance the Obligations of Advisors, Dealers, and Representatives towards their clients, and a brief update on the recent ASC field audits of registrants.

We hope you can join us!

[Click here](#) for more information or to register.

The reception is proudly co-sponsored by McLeod Law's [Securities & Capital Markets](#) group, a Founding Sponsor of the PCMA.